



U.S. DEPT. OF COMMERCE PATENT AND TRADEMARK OFFICE

Page 1 of 1

Form PTO 1449

**LIST OF PRIOR ART CITED  
BY APPLICANT**

(Use several sheets if necessary)

ATTORNEY DOCKET NO.  
**AUS920010291US1**SERIAL NO.  
**09/942,745**APPLICANT **Black et al.**FILING DATE **August 30, 2001**GROUP ART UNIT **2181****RECEIVED**  
**DEC 10 2001**  
**Group 2100****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
<b>AK</b>	AA 5987514	Nov. 16, 1999	Rangarajan	709/224	Oct. 30, 1996
	AB 5844553	Dec. 1, 1998	Hao et al.	345/329	Mar. 29, 1996
	AC 5761502	Jun. 2, 1998	Jacobs	395/614	Dec. 29, 1995
<b>AC</b>	AD 5768614	Jun. 16, 1998	Takagi et al.	395/821	Feb. 16, 1996

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.		PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	
AK	AE	JP2000-151605	May 30, 2000	Japan	H04L 12/24		X
	AF	JP2000-99112	Apr. 7, 2000	Japan	G05B 19/00		X
	AG	WO 00/39674	Jul. 6, 2000	PCT	G06F 9/44		X
	AH	WO 00/41112	Jul. 13, 2000	PCT	G06F 17/60		X

**OTHER PRIOR ART (including author, title, date, pertinent page, etc.)**

<b>pe</b>	AI	IBM Technical Disclosure Bulletin, "Input/Output Event Analysis", Vol. 40, No. 07, July 1997.				
<b>AK</b>	AJ	IBM Research Disclosure 428126, "Keeping Track of DCE Audit Denial Events", December 10, 1999.				

DATE CONSIDERED

**05/15/04**

EXAMINER

**AK**

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.